

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 1: Aggregate Revenue Requirement - Summary Sheet

Sr. No.	Particulars	Reference	True-Up Year (FY 2015-16)			MYT Control Period					Remarks
			Tariff Order	April - March (Audited)	Deviation	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
			(a)	(b)	(c) = (b) - (a)	Projected	Projected	Projected	Projected	Projected	
1	Fuel Related Expenses		169.61	163.81	(5.80)	345.83	354.70	365.56	376.75	388.29	
2	Operation & Maintenance Expenses	Form 3	90.53	98.66	8.13	106.45	112.54	118.98	125.79	132.98	
2.1	Water Charges	Form 3.A & 3.A.1	0.60	0.43	(0.17)	0.47	0.52	0.57	0.63	0.69	
3	Depreciation	Form 5	60.10	66.30	6.20	67.36	24.77	25.10	25.45	25.71	
4	Interest Expenses / Interest & Finance Charges on Loan Capital	Form 6	15.05	0.19	(14.86)	0.23	0.25	0.21	0.22	0.17	
5	Interest on Working Capital	Form 2.4	12.08	12.54	0.46	11.61	11.48	11.84	12.21	12.60	
6	Special allowance in lieu of Renovation & Modernisation*		-	-	-	-	-	-	-	-	
7	SLDC Fees and Charges		0.12	0.06	(0.06)	0.20	0.21	0.22	0.23	0.25	
8	Total Revenue Expenditure		178.48	178.18	(0.30)	186.33	149.78	156.93	164.53	172.40	
9	Add: Return on Equity	Form 7	43.68	47.52	3.84	48.23	48.53	48.82	49.09	49.33	
10	Add: Income Tax		3.00	1.39	(1.61)	1.22	1.22	1.22	1.22	1.22	
11	Less: Non-Tariff Income	Form 8	6.39	4.83	(1.56)	4.83	4.83	4.83	4.83	4.83	
12	Aggregate Revenue Requirement		218.77	222.26	3.49	230.95	194.70	202.14	210.01	218.12	

Note: * - Wherever applicable

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 1.1: Summary of Tariff Proposal

Sr. No.	Particulars	Previous Year	MYT Control Period					Remarks
		FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
		Approved	Projected	Projected	Projected	Projected	Projected	
1	Capacity (Fixed) Charges (in Rs. Crore)	218.77	230.95	194.70	202.14	210.01	218.12	
2	Energy Charge Rate ex-bus (Rs./kWh)	1.50	2.81	2.89	2.97	3.07	3.16	
a	Station/Unit 1 (pls mention name)...							
b	Station/Unit 2 (pls mention name)...							
c	Station/Unit 3 (pls mention name)...							
							
							
3	Other Charges (Rs./kWh)							
a	Station/Unit 1 (pls mention name)...							
b	Station/Unit 2 (pls mention name)...							
c	Station/Unit 3 (pls mention name)...							
							
							

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 2.1: Operational Parameters - Thermal Generation
KLTPS 1-3

Sr. No.	Particulars	Unit of Measurement	True-Up Year (FY 2015-16)			MYT Control Period					Remarks
			Tariff Order	April - March (Audited)	Deviation	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
			(a)	(b)	(c) = (b) - (a)	Projected	Projected	Projected	Projected	Projected	
1	Total Capacity	MW	215	215		215	215	215	215	215	
2	Availability										
2.1	Target Availability for full recovery of AFC	%	75.00%	70.89%		75.00%	75.00%	75.00%	75.00%	75.00%	
2.2	Projected Availability	%	-	-	-	75.00%	75.00%	75.00%	75.00%	75.00%	
3	Plant Load Factor (PLF)										
3.1	Target PLF for Incentive	%	75.00%	68.00%		80.00%	80.00%	80.00%	80.00%	80.00%	
3.2	Projected PLF	%	-	-	-	75.00%	75.00%	75.00%	75.00%	75.00%	
4	Gross Generation										
4.1	Scheduled Generation	MU	1284.18	1284.18		1506.72	1506.72	1506.72	1506.72	1506.72	
4.2	Projected Gross Generation	MU	-	-	-	1412.55	1412.55	1412.55	1412.55	1412.55	
5	Auxiliary Consumption										
5.1	Normative Auxiliary Energy Consumption	%	12.00%	12.64%		12.00%	12.00%	12.00%	12.00%	12.00%	
5.2	Projected Auxiliary Energy Consumption	%	-	-	-	13.00%	13.00%	13.00%	13.00%	13.00%	
5.3	Projected Auxiliary Energy Consumption	MU	-	-	-	183.63	183.63	183.63	183.63	183.63	
5.4	Net Generation	MU				1325.91	1325.91	1325.91	1325.91	1325.91	
6	Gross Station Heat Rate										
6.1	Normative Gross Station Heat Rate	kcal/kWh	3300	3085		3231	3231	3231	3231	3231	
6.2	Projected Gross Station Heat Rate	kcal/kWh	-	-	-	3250	3250	3250	3250	3250	
7	Secondary Fuel Oil Consumption										
7.1	Normative Secondary Fuel Oil Consumption	ml/kWh	3.00	4.47		3.00	3.00	3.00	3.00	3.00	
7.2	Projected Secondary Fuel Oil Consumption	ml/kWh	-	-	-	3.70	3.00	3.00	3.00	3.00	
8	Lime stone consumption										
	Lignite based stations using CFBC Technology										
8.1	Normative	kg/kWh	NA	NA		NA	NA	NA	NA	NA	
8.2	Projected	kg/kWh	-	-	-	NA	NA	NA	NA	NA	
9	Transit and Handling Loss										
9.1	Normative Transit Loss	%	0.20	0.20		0.80%	0.80%	0.80%	0.80%	0.80%	
9.2	Projected Transit Loss	%	-	-	-	0.80%	0.80%	0.80%	0.80%	0.80%	
10	Gas Booster Consumption										
10.1	Normative	%	NA	NA		NA	NA	NA	NA	NA	
10.2	Projected	%	-	-	-	NA	NA	NA	NA	NA	

* Figures must be as per norms approved in GERC (MYT) Regulations, 2016

Note: Operational data is to be submitted for each Unit of each station separately

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 2.2: Energy Charges - Thermal Generation
KLTPS 1-3

Sr. No.	Item	Derivation	Unit	True-Up Year (FY 2015-16)	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	Remarks
1	Total Capacity	A1	MW	215	215	215	215	215	215	
2	Actual PLF (for True up year) / Target PLF (for MYT Projection year)	A2	%	68.00%	75.00%	75.00%	75.00%	75.00%	75.00%	
3	Gross Generation	A=A1 x A2 x 8760 or 8784 (leap year)	MUs	1284.18	1412.55	1412.55	1412.55	1412.55	1412.55	
4	Auxiliary Consumption	C	%	12.64%	13.00%	13.00%	13.00%	13.00%	13.00%	
5	Auxiliary Consumption	B	MUs	162.37	183.63	183.63	183.63	183.63	183.63	
6	Net Generation	Y=A - B	MUs	1121.81	1228.92	1228.92	1228.92	1228.92	1228.92	
7	Station Heat Rate	D	Kcal/KWh	3,085	3,250	3250	3250	3250	3250	
8	Sp. Oil Consumption	E	ml/kWh	4.47	3.70	3.00	3.00	3.00	3.00	
9	Gross Calorific Value of Coal	F	kcal/kg	2,837	2,786	2,786	2,786	2,786	2,786	
10	Calorific value of Oil	G	kcal/l	10,237	10,285	10,285	10,285	10,285	10,285	
11	Overall Heat	H=A x D	G Cal	3961695	4590788	4590788	4590788	4590788	4590788	
12	Heat from Oil	I=(A x E x G)/1000	G Cal	58765	53756	43586	43586	43586	43586	
13	Heat from Coal	J=H-I	G Cal	3902931	4537031	4547201	4547201	4547201	4547201	
14	Transit losses	K	%	0.20%	0.80%	0.80%	0.80%	0.80%	0.80%	
15	Coal Blend									
16	A) Indigenous Coal	X1	%							
17	B) Washed Coal	X2	%							
18	C) Imported Coal	X3	%							
19	Actual Oil Consumption	L=A x E	kl	5740.28	5226.44	4237.65	4237.65	4237.65	4237.65	
20	Actual Coal Consumption	M=(J X 1000)/F	MT	1375724.54	1628768.06	1632419.08	1632419.08	1632419.08	1632419.08	
21	A) Indigenous Coal	Q1=M* x X1/(1-K)	MT							
22	B) Washed Coal	Q2=M* x X2 / (1-K)	MT							
23	C) Imported Coal	Q3=M* X X3	MT							
24	Price of Coal									
25	A) Indigenous Coal	P1	Rs/MT							
26	B) Washed Coal	P2	Rs/MT							
27	C) Imported Coal	P3	Rs/MT							
28	Price of Lignite		Rs/MT	1072	2045	2106	2170	2235	2302	
29	Price of Oil	P4	Rs/kl	28419	24396	25616	26897	28242	29654	
30	Coal cost									
31	A) Indigenous Coal	N1=Q1 X P1	Rs Lakh							
32	B) Washed Coal	N2=Q2 X P2	Rs Lakh							
33	C) Imported Coal	N3=Q3 X P3	Rs Lakh							
34	Total Coal Cost	N4=N1+N2+N3	Rs Lakh	14749.64	33308.31	34384.46	35415.99	36478.47	37572.83	
35	Oil Cost	N5=P4 x L/10^5	Rs Lakh	1631.36	1275.06	1085.52	1139.80	1196.79	1256.63	
36	Other Charges (Please specify details)	N6	Rs Lakh							
37	Other Adjustments (Please specify details)	N7	Rs Lakh							
38	Total Fuel Cost	O=N4+N5+N6+N7	Rs Lakh	16381	34583	35470	36556	37675	38829	
39	Fuel Cost/Unit Gross	P=O/(A*10)	Rs/kWh	1.28	2.45	2.51	2.59	2.67	2.75	
40	Fuel Cost/Unit Net	Q=O/(Y*10)	Rs/kWh	1.46	2.81	2.89	2.97	3.07	3.16	
41	Cost of fuel/G.Cal	R=(O/H)*10^5	Rs/Gcal	413.48	753.32	772.63	796.29	820.67	845.81	
42	Actual Net Generation (for true up year only)	S	MUs	1121.81	1228.92	1228.92	1228.92	1228.92	1228.92	
43	Normative Fuel Cost for actual Net Generation (for true up year only)	T=S*Q/10	Rs. Crore	163.81	345.83	354.70	365.56	376.75	388.29	

* Should be as per MYT Regulations. If there is any deviation, pls justify.

NOTE: Operational data is to be submitted for each UNIT of each station separately

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 2.3: Fuel Cost Details - Thermal Generation
KLTPS 1-3

Sr. No.	Particulars	Unit	True-Up Year (FY 2015-16)			MYT Control Period					Remarks
			Tariff Order	April-March (Audited)	Deviation	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
			(a)	(b)	(c) = (b) - (a)	Projected	Projected	Projected	Projected	Projected	
1	Basic Cost	Rs/unit									
2	Freight	Rs/unit									
3	Freight Surcharge, if applicable	Rs/unit									
4	Fuel Handling Charges	Rs/unit									
5	Taxes and Duties (pl. specify details)	Rs/unit									
6	Any other charges (pl. specify details)	Rs/unit									
7	Total Price excluding Transit & Handling Loss	Rs/unit									
8	Transit & Handling Loss	%	0.20	0.20		0.80%	0.80%	0.80%	0.80%	0.80%	
9	Total Price including Transit & Handling Loss	Rs/unit									

Note: This Fuel Price Break up should be submitted for each Unit/Station providing the break up of fuel price of primary fuel

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 2.4: Interest on Working Capital - Thermal Generation (1 of 2)
KLTPS 1-3

A. True-Up Year (FY 2015-16)

(Rs. Crore)

Sr. No.	Particulars	Norm	True-Up Year (FY 2015-16)	
			Tariff Order	True-Up Petition
1	Target Availability (%)		75.00%	75.00%
2	Actual Generation (MU)		1284.18	1284.18
3	Cost of Coal/Lignite ¹			13.56
4	Cost of Oil ²			NA
5	Cost of Secondary Fuel Oil ¹			3.00
6	Fuel Cost ³			NA
7	Liquid Fuel Stock ³			NA
8	O&M expenses			8.22
9	Maintenance Spares			26.68
10	Receivables			33.58
11	Total Working Capital Requirement			85.04
12	Computation of Working Capital Interest			
13	Interest Rate (%)			14.75%
14	Interest on Working Capital		12.08	12.54
15	Actual Working Capital Interest as per Audited Accounts	Not Applicable		

Note:

- 1 For Coal based/Lignite based generating stations
- 2 For Oil based generating stations
- 3 For Gas Turbine/Combined Cycle generating stations duly taking into account the mode of operation on gas fuel and liquid fuel
- 4 Submit this form for each station separately

B. MYT Control Period FY 2016-17 to FY 2020-21

Form 2.4: Interest on Working Capital - Thermal Generation (2of 2)

Sr. No.	Particulars	Norm	MYT Control Period				
			FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
			Projected	Projected	Projected	Projected	Projected
1	Target Availability (%)		75.00%	75.00%	75.00%	75.00%	75.00%
2	Generation (MU)		1412.55	1412.55	1412.55	1412.55	1412.55
3	Cost of Coal/Lignite ¹		27.38	28.26	29.11	29.98	30.88
4	Cost of Oil ²						
5	Cost of Secondary Fuel Oil ¹		2.10	1.78	1.87	1.97	2.07
6	Fuel Cost ³						
7	Liquid Fuel Stock ³						
8	O&M expenses		8.87	9.38	9.92	10.48	11.08
9	Maintenance Spares		12.85	12.92	12.99	13.05	13.12
10	Receivables		48.07	45.78	47.31	48.90	50.53
11	Total Working Capital Requirement		99.26	98.12	101.20	104.38	107.68
	Computation of Working Capital Interest						
12	Interest Rate (%)		11.70%	11.70%	11.70%	11.70%	11.70%
13	Interest on Working Capital		11.61	11.48	11.84	12.21	12.60

Note:

- 1 For Coal based/Lignite based generating stations
- 2 For Oil based generating stations
- 3 For Gas Turbine/Combined Cycle generating stations taking into account the mode of operation on gas fuel and liquid fuel
- 4 Submit this form for each station separately

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 2.9: % Annual PAF & PLF of Generating Stations

True-Up Year (FY 2015-16)

Sr. No.	Generating Station	PAF	PLF
1	KLTPS 1-3	70.89%	68.00%

Note: This detail must be certified by SLDC.

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 3: Operations and Maintenance Expenses Summary
KLTPS 1-3

(Rs. Crore)

Sr. No.	Particulars	Reference	True-Up Year (FY 2015-16)			MYT Control Period					Remarks
			Tariff Order	April-March (Audited)	Deviation	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
			(a)	(b)	(c) = (b) - (a)	Projected	Projected	Projected	Projected	Projected	
1	O&M Expenses	Form 3.1	90.53	98.66							
1.1	Employee Expenses	Form 3.2		53.03							
1.2	A&G Expenses	Form 3.3		40.23							
1.3	R&M Expenses	Form 3.4		5.40							
2	Less: O&M Expense capitalised										
3	Total Operation & Maintenance Expenses (Net of Capitalisation)		90.53	98.66	8.13	106.45	112.54	118.98	125.79	132.98	

Note: This form should be submitted for each station separately alongwith separate details for H.O. Expenses

Form 3.A: Water Charges for the True-Up Year (FY 2015-16)

Sr. No.	Name of Generating Station	Generation in MU	Water consumption in Cub.Mtr.	Water consumption in Cub.Mtr./MU	Rate of water in Rs./Cub.Mtr.	Amount of water charges in Rs. Crore	Tariff Order	April-March (Audited)	Deviation	Remarks
		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h) = (f) - (g)	
1	KLTPS 1-3	1284.18					0.60	0.43	0.17	

Form 3.A.1: Water Charges for the MYT Period FY 2016-17 to FY 2020-21

Sr. No.	Name of Generating Station	Projected Gross Generation in MU	Projected water consumption in Cub.Mtr. per MU	Projected rate of water in Rs./Cub.Mtr.	Projected cost of water consumption in Rs. Crore
1	KLTPS 1-3				
...	FY 2016-17	1412.55			0.47
...	FY 2017-18	1412.55			0.52
...	FY 2018-19	1412.55			0.57
...	FY 2019-20	1412.55			0.63
...	FY 2020-21	1412.55			0.69

Note: The details should be submitted for all the years of MYT i.e. FY 2016-17 to FY 2020-21

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 3.1: Normative O&M Expenses
<Station-wise and H.O. Expenses>

A. For Existing Generating Stations

(Rs. Crore)

Sr. No.	Particulars	Approved O&M Expenses			3-Year Average (d)=[(a)+(b)+ (c)]/3	Normative* FY 2015-16 (e)	MYT Control Period									
		FY 2012-13	FY 2013-14	FY 2014-15			FY 2016-17		FY 2017-18		FY 2018-19		FY 2019-20		FY 2020-21	
		(a)	(b)	(c)	Normative\$	Projected\$\$	Normative\$	Projected\$\$	Normative\$	Projected\$\$	Normative\$	Projected\$\$	Normative\$	Projected\$\$		
1	Employee Expenses#															
2	A&G Expenses (including insurance and excluding Water Charges)															
3	R & M Expenses															
4	Total O&M Expenses	69.17	102.86	88.78	86.94	97.17	102.72	106.45	108.60	112.54	114.81	118.98	121.38	125.79	128.32	132.98

7th pay commission impact of added in employee cost for FY 2016-17

Notes: This form should be submitted for each station separately alongwith separate details for H.O. Expenses

* Normative O&M expenses for FY 2015-16 to be computed by escalating (d) by 5.72% twice

\$ Normative O&M expenses for each Year of the Control Period to be computed by escalating (e) by 5.72% year on year

\$\$ In case Projected O&M expenses for Control Period are different from Normative O&M expenses, then detailed justification should be provided

B. For New Generating Stations

Sr. No.	Particulars	Unit	Ensuing Years				
			FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
			Estimated	Projected	Projected	Projected	Projected
A	Thermal Generating Stations						
1	O&M Norms specified by the Commission (a)	Rs. Lakh/MW					
2	Installed Capacity (b)	MW					
2.1	Additional 4th and 5th Unit (0.90 x a)						
2.2	Additional 6th and more Unit (0.85 x a)						
3	O&M Expenses (c)	Rs. Crore					
B	Hydro Generating Stations						
1	O&M Norms specified by the Commission	%					
2	Original Project Cost	Rs. Crore					
3	O&M Expenses	Rs. Crore					

Note: Station/Unit-wise details to be provided above

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 3.2: Employee Expenses
KLTPS 1-3

Expenditure details

(Rs. Crore)

Sr. No.	Particulars	True-Up Year (FY 2015-16)		
		April-March (Audited)		
		Regulated Business	Non-regulated Business	Total (Audited)
1	Basic Salary			
2	Dearness Allowance (DA)			
3	House Rent Allowance			
4	Conveyance Allowance			
5	Leave Travel Allowance			
6	Earned Leave Encashment			
7	Other Allowances			
8	Medical Reimbursement			
9	Overtime Payment			
10	Bonus/Ex-Gratia Payments			
11	Interim Relief / Wage Revision			
12	Staff welfare expenses			
13	VRS Expenses/Retrenchment Compensation			
14	Commission to Directors			
15	Training Expenses			
16	Payment under Workmen's Compensation Act			
17	Net Employee Costs			
18	Terminal Benefits			
18.1	Provident Fund Contribution			
18.2	Provision for PF Fund			
18.3	Pension Payments			
18.4	Gratuity Payment			
18.5	Incentive			
19	Others (Pls. specify)			
20	Gross Employee Expenses			53.03
21	Less: Expenses Capitalised			0.00
22	Net Employee Expenses			53.03
23	Total Gross Generation in MU			1284.18
24	Employees Cost / Unit (22/23)			0.41
25	No. of Employees			

Note: This form should be submitted for each station separately alongwith separate details for H.O. Exp

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 3.3: Administration & General Expenses
KLTPS 1-3

(Rs. Crore)

Sr. No.	Particulars	True-Up Year (FY 2015-16)		
		April-March (Audited)		
		Regulated Business	Non-regulated Business	Total (Audited)
1	Rent Rates & Taxes			
2	Insurance			
3	Telephone & Postage, etc.			
4	Legal charges & Audit fee (Break-up as per separate Table in Form 3.3.1)			
5	Professional, Consultancy, Technical fee			
6	Conveyance & Travel			
7	Electricity charges			
8	Water charges			
9	Security arrangements			
10	Fees & subscription			
11	Books & periodicals			
12	Computer Stationery			
13	Printing & Stationery			
14	Advertisements			
15	Purchase Related Advertisement Expenses			
16	Contribution/Donations			
17	License Fee and other related fee			
18	Vehicle Running Expenses Truck / Delivery Van			
19	Vehicle Hiring Expenses Truck / Delivery Van			
20	Cost of services procured			
21	Outsourcing of metering and billing system			
22	Freight On Capital Equipments			
23	V-sat, Internet and related charges			
24	Training			
25	Bank Charges			
26	Miscellaneous Expenses			
27	Office Expenses			
28	Others (Pls. specify)			
29	Gross A &G Expenses			40.23
30	Less: Expenses Capitalised			0.00
31	Net A &G Expenses			40.23

Note: This form should be submitted for each station separately alongwith separate details for H.O. Expenses

KLTPS 1-3**MYT Petition, True-up Petition Formats - Generation****Form 3.4: Repair & Maintenance Expenses****KLTPS 1-3****(Rs. Crore)**

Sr. No.	Particulars	True-Up Year (FY 2015-16)
1	Plant & Machinery	
2	Buildings	
3	Civil Works	
4	Hydraulic Works	
5	Lines & Cable Networks	
6	Vehicles	
7	Furniture & Fixtures	
8	Office Equipment	
9	Gross R&M Expenses	5.40
10	Gross Fixed Assets at beginning of year	1257.56
11	R&M Expenses as % of GFA at beginning of year	0.43%

Note: This form should be submitted for each station separately

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 4: Summary of Capital Expenditure and Capitalisation
KLTPS 1-3

(Rs. Crore)

Sr. No.	Particulars	MYT Control Period					Remarks
		FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
		Projected	Projected	Projected	Projected	Projected	
1	Capital Expenditure	6.67	7.50	6.25	6.50	5.00	
2	Capitalisation	6.67	7.50	6.25	6.50	5.00	
3	IDC						
4	Capitalisation + IDC						

Note: Detail Justification shall be provided for variation in approved capital expenditure and capitalisation vis-a-vis actual capital expenditure and capitalisation
This form should be submitted for each station separately

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 4.1: Capital Expenditure Plan (1 of 2)

Project Details

(Rs. Crore)

Project Code	Project Title	Project Purpose	Project Start Date			Project Completion date			Cost of the Project		
			Original	Revised	Actual	Original	Revised	Actual	Original	Approved	Difference = Actual - Approved
FY 2016-17											
a) Scheme 1											
b) Scheme 2											
...											
FY 2017-18											
...											
FY 2018-19											
...											
FY 2019-20											
...											
FY 2020-21											
...											
TOTAL											

Form 4.1: Capital Expenditure Plan (2 of 2)

Project Details

(Rs. Crore)

		CAPITAL EXPENDITURE				
Project Number	Project Title	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
		Projected	Projected	Projected	Projected	Projected
	Major & Minor R&M	6.67	7.50	6.25	6.50	5.00
TOTAL						

Financing Plan

(Rs. Crore)

Project Number	SOURCE OF FINANCING FOR CAPITAL EXPENDITURE						
	Internal Accruals	Equity	Debt				Loan Source
			Loan Amount	Interest Rate (% p.a.)	Tenure of Loan (years)	Moratorium Period (years)	
FY 2016-17							
Major & Minor R&M		2.00	4.67	9.65%			
FY 2017-18							
Major & Minor R&M		2.25	5.25	9.65%			
FY 2018-19							
Major & Minor R&M		1.88	4.38	9.65%			
FY 2019-20							
Major & Minor R&M		1.95	4.55	9.65%			
FY 2020-21							
Major & Minor R&M		1.50	3.50	9.65%			
TOTAL		9.58	22.34				

Note: Separate Forms shall be submitted for each Renovation and Modernisation Scheme

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 5: Assets & Depreciation (1 of 2)
KLTPS 1-3

Fixed Assets and Depreciation (Station-wise) For True Up year and for each Year of MYT Control Period

(Rs. Crore)

Particulars *	Gross Block			Depreciation					Applicable rate of Depreciation (%) *	Net Block	
	As at the beginning of the Financial Year	Additions	Deductions	As at the end of the Financial Year	As at the beginning of the Financial Year	Additions	Deductions	As at the end of the Financial Year		As at the beginning of the Financial Year	As at the end of the Financial Year
Land											
Buildings											
Hydraulic works											
Other Civil Works											
Plant & Machinery											
Lines & Cables											
Vehicles											
Furniture & Fixtures											
Office Equipments											
Capital Expenditure on Assets not belonging to utility											
Spare Units											
Capital Spares											
TOTAL	1257.56	27.36	0.00	1284.92		66.30	0.00		5.22%		

* The particular of asset and rate of depreciation should match with those provided in the applicable Tariff Regulations
This form should be submitted for each station separately

Form 5: Assets & Depreciation (2 of 2)

Fixed Assets and Depreciation (Company as a whole) For True Up year and for each Year of MYT Control Period

(Rs. Crore)

Particulars *	Gross Block				Depreciation				Applicable rate of Depreciation (%) *	Net Block	
	As at the beginning of the Financial Year	Additions	Deductions	As at the end of the Financial Year	As at the beginning of the Financial Year	Additions	Deductions	As at the end of the Financial Year		As at the beginning of the Financial Year	As at the end of the Financial Year
Land											
Buildings											
Hydraulic works											
Other Civil Works											
Plant & Machinery											
Lines & Cables											
Vehicles											
Furniture & Fixtures											
Office Equipments											
Capital Expenditure on Assets not belonging to utility											
Spare Units											
Capital Spares											
TOTAL											
Total as per Audited Account (for True up year only)	16291.82	3503.40	0.00	19795.22		904.76	0.00		5.01%		

* The particular of asset and rate of depreciation should match with those provided in the applicable Tariff Regulations

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 6: Interest Expenses (1 of 2)

A. Normative Loan

(Rs. Crore)

Sr. No.	Source of Loan	True-Up Year (FY 2015-16)			MYT Control Period					Remarks
		Tariff Order	April-March (Audited)	Deviation	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
		(a)	(b)	(c) = (b) - (a)	Projected	Projected	Projected	Projected	Projected	
1	Opening Balance of Normative Loan		4.73		0.00	0.00	0.00	0.00	0.00	
2	Less: Reduction of Normative Loan due to retirement or replacement of assets									
3	Addition of Normative Loan due to capitalisation during the year		19.15		4.67	5.25	4.38	4.55	3.50	
4	Repayment of Normative loan during the year		23.88		4.67	5.25	4.38	4.55	3.50	
5	Closing Balance of Normative Loan		0.00		0.00	0.00	0.00	0.00	0.00	
6	Average Balance of Normative Loan		2.37		0.00	0.00	0.00	0.00	0.00	
7	Weighted average Rate of Interest on actual Loans (%)		7.90%		9.65%	9.65%	9.65%	9.65%	9.65%	
8	Interest Expenses		0.19		0.23	0.25	0.21	0.22	0.17	
9	Finance Charges		0.01		0.00	0.00	0.00	0.00	0.00	
10	Total Interest & Finance Charges		15.05		0.23	0.25	0.21	0.22	0.17	

Form 6: Interest Expenses (2 of 2)

B. Existing Actual Long-term Loans

(Rs. Crore)

Sr. No.	Source of Loan	Legend	True-Up Year (FY 2015-16)	MYT Control Period					Remarks
			April-March (Audited)	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
				Projected	Projected	Projected	Projected	Projected	
1	Source 1								
1.1	Opening Balance of Loan	A1							
1.2	Addition of Loan during the year	B1							
1.3	Loan Repayment during the year	C1							
1.4	Closing Balance of Loan	D1=A1+B1-C1							
1.5	Average Loan Balance	E1=(A1+D1)/2							
1.6	Applicable Rate of Interest as on 1st April of the Financial Year	F1							
1.7	Interest Amount Paid in Rs. Crore	G1							
2	Source 2								
2.1	Opening Balance of Loan	A2							
2.2	Addition of Loan during the year	B2							
2.3	Loan Repayment during the year	C2							
2.4	Closing Balance of Loan	D2=A2+B2-C2							
2.5	Average Loan Balance	E2=(A2+D2)/2							
2.6	Applicable Rate of Interest as on 1st April of the Financial Year	F2							
2.7	Interest Amount Paid in Rs. Crore	G2							
3	Source 3								
...	...								
...	...								
...	...								
10	Total								
10.1	Opening Balance of Loan = A1+A2+.....	A							
10.2	Addition of Loan during the year = B1+B2+.....	B							
10.3	Loan Repayment during the year = C1+C2+.....	C							
10.4	Closing Balance of Loan	D=A+B-C							
10.5	Average Loan Balance	E=(A+D)/2							
10.6	Total Interest Amount Paid in Rs. Crore (for all the sources) = G1+G2+.....	G							
10.7	Effective Wt. Avg. Rate of Interest	$H=G/E * 100$		Not Applicable					
10.8	Effective Wt. Avg. Rate of Interest	$H=\frac{\sum(A_n * F_n)}{\sum A_n} * 100$	Not Applicable						
9	Gross Interest Expenses								
10	Less: Expenses Capitalised								
11	Net Interest Expenses								

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 7: Return on Regulatory Equity

(Rs. Crore)

Sr. No.	Particulars	Legend	True-Up Year (FY 2015-16)			MYT Control Period				
			Norm	Tariff Order	Claimed in Petition	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21
						Projected	Projected	Projected	Projected	Projected
1	Regulatory Equity at the beginning of the year	A			335.32	343.53	345.53	347.78	349.65	351.60
2	Capitalisation during the year	B			27.36	6.67	7.50	6.25	6.50	5.00
3	Equity portion of capitalisation during the year	C			8.21	2.00	2.25	1.88	1.95	1.50
4	Reduction in Equity Capital on account of retirement / replacement of assets	D				0.00	0.00	0.00	0.00	0.00
5	Regulatory Equity at the end of the year	E=A+C-D			343.53	345.53	347.78	349.65	351.60	353.10
	Return on Equity Computation									
6	Return on Regulatory Equity at the beginning of the year	F			46.94	48.09	48.37	48.69	48.95	49.22
7	Return on Regulatory Equity addition during the year	G=(C-D)/2			0.57	0.14	0.16	0.13	0.14	0.11
8	Total Return on Equity			43.68	47.52	48.23	48.53	48.82	49.09	49.33

KLTPS 1-3
MYT Petition, True-up Petition Formats - Generation
Form 8: Non-Tariff Income
KLTPS 1-3

(Rs. Crore)

Sr. No.	Particulars	Reference	True-Up Year (FY 2015-16)			MYT Control Period					Remarks
			MYT Order	April-March (Audited)	Deviation	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
			(a)	(b)	(c) = (b) - (a)	Projected	Projected	Projected	Projected	Projected	
1	Income from Rents of land or buildings										
2	Income from Sale of Scrap										
3	Income from statutory investments										
4	Income from sale of ash/rejected coal										
5	Interest income on advances to suppliers/contractors										
6	Income from Rental from staff quarters										
7	Income from Rental from contractors										
8	Income from hire charges from contractors and others										
9	Income from advertisements, etc.										
10	Prior Period Income etc.										
11	...										
12	...										
	Others (Pls. specify)										
17	Total		6.39	4.83	(1.56)	4.83	4.83	4.83	4.83	4.83	

Note: This form should be submitted for each station separately